

CONTROL SELF-ASSESSMENT

INFORMATION TECHNOLOGY

1. Does a departmental business continuation plan exist that is tested periodically and which identifies IT systems (including local area networks (LANs), wireless networks or web-based applications), critical to the performance of your unit's mission; contains plans for continued mission critical operations for short- and long-term emergencies; and identifies the off-site storage location of backup application and data files, programs, and documentation, as well as alternative processing sites?

Yes No Not Applicable

2. Does your unit conduct regular security reviews of servers, firewalls, routers and monitoring platforms?

Yes No Not Applicable

3. Is the unit's access to data, applications, networks, and computer systems limited to authorized personnel?

Yes No Not Applicable

4. Does the unit have procedures in place to ensure information resources are categorized and protected according to the requirements set for each classification in the University's Data Classification Policy?

Yes No Not Applicable

5. Does the unit have procedures in place to ensure compliance with the University's Software Copyright Compliance Policy?

Yes No Not Applicable

6. Does the unit have training policies and practices for developing and maintaining employee skills needed to ensure completion of assigned responsibilities?

Yes No Not Applicable

7. Does the unit maintain user documentation for all critical departmental computer applications?

Yes No Not Applicable

8. Are there controls in place to prevent the introduction of computer viruses or disruptive/destructive programs into the University environment?

Yes No Not Applicable